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DMI-ST. EUGENE UNIVERSITY

ZAMBIA

DEGREE EXAMINATION – DECEMBER 2024

Semester: VI 551 OE 03 FINANCIAL MARKETS AND SERVICES

Time: 3:00 Hours

Max. Marks: 100

Answer any FIVE Questions (5 x 20 = 100 Marks)

1. a) Explain the significance of the Zambian Financial System. (10 Marks)
b) Briefly give contributions about the Regulatory Framework of Zambia's financial system. (5 Marks)
c) Explain and list the ACTs under the Banking Laws And Regulations in Zambia. (5 Marks)
2. a) Explain about the types of Financial Market. (5 Marks)
b) Briefly explain about the Financial Instruments classifications. (10 Marks)
c) Explain the role or significance of financial system. (5 Marks)
3. a) Discuss features of the Money Market Instruments. (5 Marks)
b) Explain about treasury bills. (10 Marks)
c) Briefly explain about commercial paper. (5 Marks)
4. a) Discuss the features of Venture Capital investments. (5 Marks)
b) Explain the methods of venture capital financing. (5 Marks)
c) Discuss the Venture capital process. (10 Marks)
5. a) Explain derivatives market. (5 Marks)
b) Briefly explain about Capital market instruments. (10 Marks)
c) Explain about the functions of Capital Markets. (5 Marks)

6.
 - a) In terms of regulation, there are three main regulators of the financial sector kindly explain them. **(10 Marks)**
 - b) Explain and List the institutions regulated by the three main financial sector regulators of Zambia. **(5 Marks)**
 - c) Discuss about Financial policies and the banking system in Zambia. **(5 Marks)**
7.
 - a) Explain about Features of Factoring. **(5 Marks)**
 - b) Explain about the benefits of hire purchase agreements. **(5 Marks)**
 - c) Discuss about the types of Hire Purchase and example. **(10 Marks)**